

# COMPREHENSIVE SYSTEM OF PERSONNEL DEVELOPMENT



ALABAMA'S EARLY INTERVENTION SYSTEM



**LEAD AGENCY:**  
Alabama Department of Rehabilitation Services

# A Vital Message about Alabama's Early Intervention System

**Alabama's EI System** is committed to providing quality services for eligible children, birth to three, and their families. The focus of EI is to train, equip and support parents/caregivers in being the first and best teachers for their child.

## Eight Core Values of Alabama's Early Intervention System (AEIS)

### ***Family Centered***

Services and supports are aimed at helping families support and care for their child. Research indicates that a child's most effective teachers are those with whom they have a nurturing relationship and with whom they spend the most time, such as his or her mother, father, grandparent, childcare provider or primary caregiver. How these individuals interact with their child while feeding, diapering, playing, and cuddling will have the greatest impact on how the child develops and learns.

### ***Developmentally Appropriate***

A team of professionals will assist the family with understanding typical development and how their child is likely to develop based on factors which may include a medical diagnosis or delay. Services and home activities are designed to support the child's development. The EI team will assist the family with the functional and developmental needs of their child and family "today".

### ***Individualized***

If a child is eligible for services, the Service Coordinator will assist the family in developing an Individualized Family Service Plan (IFSP), which will include individualized outcomes based on needs and their priorities. From this plan, the family and Service Coordinator will identify a team of professionals, other family members, caregivers, and/or friends to help in reaching the outcomes included in the IFSP. This plan can and should change as the child grows and develops and is based on the child's progress toward meeting these outcomes.

### ***Provided in natural Environment***

EI services are provided in a location where the child and family typically would be: home, childcare, playgrounds, etc. Natural environments also include the daily activities and routines of the family.

### ***Trains/Equips the Parent/Caregiver***

AEIS is a program that supports and trains families and caregivers. EI will aid and support the family while teaching them skills to meet their child's developmental needs. With the support of the team of professionals, together they will work to carry out activities on a daily basis so that the child and family will meet their outcomes.

### ***Collaborative***

The EI team will work closely with each other as well as with the family and their child to reach outcomes. The team can also work with other service providers which might include the child's physician(s), therapists from other agencies, childcare providers, community partners, and other specialists. If the family or physician feel more services are needed which are determined to be outside the scope of EI, the Service Coordinator will assist the family in identifying resources that might supplement EI services, using either public or private insurance.

### ***Routines-Based Intervention***

Routines are activities that happen naturally and with some regularity. Routines are how families organize themselves to get things done, spend time together and have fun. Every family has routines that are uniquely theirs. Every family has routines that help them know who should do what, when, in what order and how often. Routines based intervention assists with routines identified by a family that are considered a concern/priority. Routines based intervention assists with activities a family has identified as not going well. Providing routines-based interventions has proven to be more effective in assisting families and it is evidence based.

### ***Evidence-Based Practices***

Evidence-based practice in the field of early childhood is the process that pulls together the best available research and knowledge from professional experts, including data and input from children and their caregivers. The purpose is to identify and provide services evaluated and proven to achieve positive outcomes for children and families.

# SECTION ONE: Family Involvement

**GOAL: Families of young children with disabilities (aged 12 or younger) will be active participants in AEIS**

## *Guiding Principles*

- A. **Families should have input regarding the effectiveness of EI services and AEIS initiatives.**
- B. **Families should have input regarding training activities that are provided to address their identified interests and needs.**
- C. **Families should be supported in such a way that their involvement in early intervention activities and training events are enhanced.**
- D. **Families should assume leadership roles in training and technical assistance activities.**

## **Ongoing Methodology**

1. Utilize results from the PAR FAMILY Survey and family membership on committees to plan workshop opportunities at the state, district and local levels that address the most frequently requested training topics.
2. Inform families of opportunities for involvement in AEIS and routinely ask families if they would like to serve on state/local committees.
3. Provide reimbursement for family participation in EI by utilizing (when available): (a) the DCC parent activity fee, (b) the ICC parent reimbursement format, and (c) the DD Council Parent Involvement Fund.
4. Utilize parent co-presenters in training activities provided through the District Councils, the EI/Preschool Conference, and other CSPD training activities

## **Tasks for SFY 2023**

**Indicates completion of task with documentation under each bulleted item**

- Increase opportunities for training and support for families who have children with special needs in partnership with the Parent Training and Information Center. ONGOING
- Continue to help grow the support to families who have children who are deaf or hard of hearing in partnership with Hands & Voices and other entities. ONGOING
- Continue to include families on AEIS ICC, Subcommittees, District Councils, and special task groups. ONGOING
- Continue the PAR Family Survey to gather input from families on the effectiveness of EI services and AEIS. ONGOING
- Continue the annual SSIP survey through UAB to gather feedback from families on current initiatives, infrastructure changes, and other OSEP required activities, and assist AEIS in utilizing the results in planning, training, and infrastructure improvement activities.
- Provide feedback to families and other stakeholders on survey results and how information was used.
- Continue to create and conduct Public Awareness activities statewide for families and the general public, including how to be involved in AEIS.
- Develop an informational document/brochure for families on the Child Outcome Summary process and how they can be effectively involved in determining their child's progress.
- Create plan for increasing diversity, equity and inclusion of families in stakeholder activities (i.e., review/feedback on state activities and progress data, etc.) such as:
  - Develop and conduct an ongoing family survey on APR and SSIP activities. (Completed in SFY 2022) Report is available on the AEIS website.
  - Collect additional data on diversity of families providing input. (Completed in SFY 2022)

Added questions related to demographics to the survey to monitor the diversity of respondents. For groups underrepresented, additional families will be located to respond.

- Develop methodology for reporting survey and other input results back to families. (Completed in SFY 2022) An Executive Summary has been developed and will continue to be the format for each annual survey.
- Recruit more families of diversity to participate in DCC activities.
- Make accommodations for involvement through different venues (virtual meetings, emails, surveys, newsletters, community events, focus groups, social media).
- Require programs through PAR and language in agreements to participate in DCC activities.

## SECTION TWO: Personnel Development

**GOAL 1 Standards:** AEIS will have personnel standards that are consistent with current licensure and certification requirements in the state.

### *Guiding Principles*

- A. Up-to-date licensure and/or certification standards within each discipline providing EI services must be maintained by all AEIS personnel.**
- B. Personnel qualifications for the delivery of each AEIS service must be established and monitored.**

### **Ongoing Methodology**

1. Request input from national and state professional organizations and licensure boards on professional requirement for credentialing, licensure, and continuing education.
2. Annually review requirements for personnel qualifications under the AEIS Personnel Standards based on federal mandates and recommended practice.

### **Ongoing Tasks for SFY 2023**

- Indicates completion of task with documentation under each bulleted item**
- Update the Personnel Standards regarding the minimum requirements for delivering the 17 early intervention services.
- Update the Personnel Standards on other pertinent requirements for AEIS providers (e.g., training, supervision, monitoring, etc.).

**GOAL 2 Training:** AEIS will have highly qualified professionals delivering research/evidence-based services to eligible children and families.

### *Guiding Principles*

- A. Customized personnel training should be provided at the district level in response to local needs.**
- B. Global training should be offered at the state level that advances the knowledge/skill of service providers based on identified needs and peer-reviewed research.**
- C. On-site technical assistance should be available to support the application of knowledge/skill in the field, to inform programs statewide of system updates and changes in policy/procedures.**
- D. There should be consistency in the interpretation and implementation of policies by direct service providers under the anchor agencies (AIDB and DMH) and by individual vendors.**

**E. Developmental Specialists should have proficiency in core competencies for special instruction services in areas such as:**

- Foundations of Early Intervention (IDEA)
- Collaboration and teamwork with families and professionals
- Identifying family concerns and priorities
- IFSP Process (development and implementation)
- Supporting families in natural environments and routines
- Weaving intervention services into a family's everyday routines, activities and settings
- Empowering parents to successfully guide and support their child's development
- Making the most of natural learning opportunities in natural environments
- Working with effective teams including professionals from diverse disciplines
- Enhancing infant and toddler development in the three OSEP Child outcome categories (positive social-emotional skills; acquisition and use of knowledge and skills; and using appropriate behaviors to meet needs)

**F. Service Coordinators should have a working knowledge of the requirements of IDEA under AEIS, family centered philosophy, and recommended practice in EI service delivery.**

**G. The inclusion of children with special needs in home and community-based settings should be cultivated.**

**H. A variety of training venues should be offered for service providers and families.**

**I. The impact of training activities should be measured.**

**Ongoing Methodology**

1. Utilize a systematic method of identifying statewide training needs (see methodology below).
2. Conduct annual District TAs to inform programs statewide of upcoming changes in policies/procedures, to inform of new training regarding evidence-based practice, to share data for use in individual program planning, and to provide opportunities for programs/service providers to have input into the state system.
3. Provide training opportunities through the Annual EI-Preschool Conference and District Coordinating Councils specific to identified needs.
4. Provide training (jointly with Part B as necessary) on transition requirements and recommended practice for EI and preschool providers.
5. Provide on-site technical assistance to service providers and program site supervisors statewide using PAR monitors during TA visits, through the mentor system, and through ongoing telephone/written/email correspondence.
6. Require training for conditional Developmental Specialists immediately upon hire. Utilize the *Developmental Specialist Certification/Mentorship* as per the AEIS Personnel Standards.
7. Require foundational training for service coordinators that addresses state/federal requirements/regulations, family centered philosophy, and recommended practice in EI service delivery (i.e., Journey through Early Intervention – Level 1).
8. Require continuing education for all personnel providing early intervention services within AEIS. Individuals with no certification or licensure requirement for continuing education are required to participate in 20 contact hours every 2 years of continuing education activities related to early intervention/pediatrics, working with children and families, or child development. Acceptable continuing education activities would include conferences such as the Early Intervention and Preschool Conference; AEIS *Developmental Specialist Certification/Mentorship*; workshops such as those sponsored by the AEIS District Coordinating Councils; and other related training activities for which certificates of contact hours are issued.
9. All early intervention personnel are required to complete the training “Message ReVITALIZED: Journey II” within 6 months of hire and every three years of employment with AEIS. (Early Intervention Personnel are defined as direct service providers, therapists, early intervention administrators, and providers delivering one of the 17 deliverable services.)

10. Require personnel implementing eligibility evaluations to complete training and/or coursework in child development and on the specific tools to be utilized.
11. Provide AEIS orientation to District Council officers, new ICC members, and new ICC subcommittee members.
12. Offer CEU credits for selected CSPD training events (e.g., the annual Early Intervention and Preschool Conference) through all disciplines as approved by their boards and organizations.
13. Develop alternative methodologies for service providers and families to participate in state CSPD trainings such as virtual trainings, recorded training events, and web-based training.
14. Provide a statewide network of trainers and mentors at the local level that is consistent statewide.
15. Monitor the effects of AEIS training on staff behavior and service delivery through PAR and TA, in particular, effective use of Voluntary Family Assessment, implementation of RBI/RBHV, IFSP Functional Outcome development, and social-emotional intervention.
16. Develop and provide training on the use of data in program level decision making through the sharing of family survey data, sharing of outcome data, provision of program profiles, and TAs.
17. Maintain trainers in the field who have an expertise in the EI vital message (8 core values), family centered practice, Routines-Based Interviewing/intervention, and the OSEP outcome areas.
18. Maintain a personnel database to identify individuals by discipline, their needs for training in SE development, assessment and intervention.
19. Require service coordinators to complete the Routines-Based Interview modules and to implement the model upon completion.
20. Require early intervention providers to complete the Routines-Based Home Visiting modules and to implement the model upon completion.

### Tasks for SFY 2023

Indicates completion of task with documentation under each bulleted item

- Collaborate with the University of Alabama to continue training on Routines-Based Interview and Routines-Based Home Visiting for statewide scale-up, including the use of RB Modules and the MEISR tool. Collect ongoing data on knowledge/skill and fidelity.
- Collaborate with Samford University to provide training and consultation for early intervention providers on the use of evidence-based strategies in working with children who have autism and their families. The training would include direct instruction, modeling, and on-site coaching with follow-up from professionals trained in delivering evidence-based practices for children birth to three and their families.
- Collaborate with Samford University to coordinate autism screening and diagnostics.
- Collaborate with the OSEP TA Centers (ECTA, DaSy, WestEd, etc.) for training resources and supports.
- Collaborate with UAB evaluators to determine effectiveness of all AEIS training activities (including RBI/RBHV) in terms of acquired knowledge, application of learned skills, fidelity in implementing evidence-based practices, and other measures of competence.
  - Develop training on understanding foundational skills across disciplines when working with families and identifying red flags to help with deciding when consultation with another discipline may be appropriate. Address difficulties of teaming – whether it be because you are serving a rural area or working on a team who is not able to collaborate, and difficulties finding providers to serve children in certain areas. (Completed in SFY 2022) Training was conducted at the 2022 EI-Preschool Conference. Planning is underway for continuing the training opportunity statewide.
  - Collaborate with ECTA and DaSy Centers on utilizing the COS-KC (Child Outcome Summary Knowledge Check) for monitoring knowledge of the COS process.
  - Provide ongoing training on the COS (Child Outcome Summary) Process utilizing the ECTA COS module.

- Develop and provide data-based training for providers on family diversity, equity and inclusion.

### **Methods for Determining Training Needs**

#### **Instruments** (*questionnaires, checklists, surveys, scales*)

Workshop evaluations; assessment of training needs by state agency liaisons; Parent PAR Survey

#### **Document Analysis** (*records, reports, planning documents, audits, work samples, written policies/procedures*)

Reports from PAR TA/monitoring, system concerns; family interviews; State/federal policies/procedures; Alabama State Systemic Improvement Plan and Annual Performance Report benchmarks and activities

#### **Identification of Weaknesses** (*frequency count, critical incidents, data analysis*)

Observations and/or requirements for training made during PAR TA/monitoring visits.  
Results from post training evaluations and surveys.

#### **Interviews/Consensus** (*focus groups, one-to-one interviews*)

Personnel Subcommittee; Higher Education focus groups; EI/Preschool Conference Planning Committee; District Coordinating Council Training Subcommittees; Special Task Forces; ICC including families; Family Survey.

### **Methods for Evaluating CSPD Activities and Outcomes**

#### **1. Workshop Evaluations** (*post-training evaluation survey*)

Data questions include evaluation of format, effectiveness, ability to implement strategies, and suggestions for follow-up training/TA:

- My expectations for this workshop were met.
- This information is important for interventionist to know.
- This workshop addressed a need in our program.
- The content of the workshop was appropriate for the time allowed.
- The presenters were knowledgeable about the topic.
- The presenters were easy to understand.
- The presenters were engaging.
- Strategies/techniques **most** useful?
- Would you consider all the components of this workshop useful?
- Will you be able to implement workshop content at your program using the information provided at this workshop? If no, what additional information/materials are needed?
- What other training topics would you suggest as follow-up to this workshop?
- Do you have any suggestions and/or ideas that will assist us in future workshops on this topic?
- Type of change:

**Informational Change:** an increase in your awareness and understanding of the subject matter

**Behavioral Change:** an increase in your ability to apply the subject matter

**Attitudinal Change:** a modification of your beliefs and perceptions related to the subject matter

**Motivational Change:** an increase in your desire to be involved with activities related to the subject matter

#### **2. Monitoring** (*Desk audit of data pertaining to former and current performance, record review, and Provider Appraisal Review Checklist including the DEC Recommended Practices*)

#### **3. Child Outcome Data Review**

Data review on statewide child outcome results as per the COS process. Results available at state-level, district level, program level, and service coordinator level.

#### **4. Family Survey Outcome Data review**

Data review from annual family survey regarding family outcomes, satisfaction with services and family training needs.

#### **5. Post Webinar Evaluation of Content** (*Developmental Specialist Certification/Mentorship, Mentor Evaluation/Feedback*)

### **Stakeholder Input**

The ICC serves as the primary stakeholder group and provides ongoing guidance and input into the development of the CSPD Plan. Information and updates are provided regularly at each ICC meeting regarding progress. In addition, ICC Subcommittees and special task groups are given

ongoing opportunities for input throughout the year. Each subcommittee follows specific By-Laws for membership which reflects diversity within the state. Representation on subcommittees and special task groups include staff representing other state agencies, families, early intervention providers, local program administrators, state TA personnel, and higher education.

ICC subcommittees include Personnel Development, Public Awareness, Program Planning and Evaluation, and Financial Planning. The AEIS state office has a Leadership Team that also identifies broad-based stakeholders and methods for gathering their input.

Family stakeholder surveys are conducted annually to gather input on current initiatives, infrastructure changes, and other OSEP required activities, and assist AEIS in utilizing the results in planning, training, and infrastructure improvement activities.

Participation on the Special Education Advisory Panel, Head Start committees, Department of Human Resources QA state board, and Department of Early Childhood Education continue to be venues for gathering input.

## SECTION THREE: Recruitment and Retention

**GOAL 1 Preservice: Pre-service training in all EI related disciplines will include content in early intervention/pediatrics.**

### *Guiding Principle*

**Early intervention information should be included in pre-service class instruction for disciplines related to EI service provision.**

### **Ongoing Methodology**

1. Offer EI speakers to provide early intervention/pediatric information during college, junior college and technical school class instruction.
2. Continue District Council activities to provide EI/pediatric instruction for physicians.
3. Participate in the Higher Education Consortium.

### **Tasks for SFY 2023**

**Indicates completion of task with documentation under each bulleted item**

- Expand collaboration with university contacts (e.g., Auburn University, the University of Alabama, Samford University) to incorporate information on the AEIS Core Values within preservice training. ONGOING
- Continue participation in the Higher Education Consortium. ONGOING

**GOAL 2 Recruitment/Retention: AEIS will have innovative strategies and activities for the recruitment and retention of early intervention service providers.**

### *Guiding Principles*

- A. High school students, community college students, university students, and other potential EI providers/vendors should be made aware of AEIS.**
- B. Early Intervention Program sites should be used as practicum and internship sites for college students.**
- C. Professionals and paraprofessionals who are willing to work in rural and inner-city areas should be identified and recruited.**

### **Ongoing Methodology**

1. Utilize District Coordinating Councils to disseminate AEIS PA materials to educational sites.
2. Encourage programs to host practicum students from colleges and universities.



3. Maintain representatives from higher education on the Personnel Subcommittee to assist in recruitment and retention activities.
4. Encourage new vendor applications through DEICs.
5. Strengthen CSPD links with higher education related to the SE domain and knowledge/skills needed in preservice upon graduation/exit.

### Tasks for SFY 2023

Indicates completion of task with documentation under each bulleted item

- Expand work with Higher Education to place students in early intervention programs to meet practicum requirements (current partners include the University of Alabama, Auburn University and Samford University).
- Continue participating in job fairs and other school career initiatives.
- Develop strategies for recruiting providers to work in rural/underserved areas.
- Provide training on diversity, equity and inclusion in hiring practices.